

Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Large Entity)			Docket No. 70551-55784	
In Re Application Of: Junsaku Nakajima, et al.				
Serial No. 09/824,554	Filing Date April 2, 2001	Examiner Not Yet Assigned	Group Art Unit 2651	
Invention: OPTICAL RECORDING MEDIUM RECORDED WITH INFORMATION IN DEPTH DIRECTION, AND METHOD AND APPARATUS OF REPRODUCTION THEREFROM				
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u> <u>Box Missing Parts</u> This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on <u>June 1, 2001</u> <i>Date</i> Enclosed herewith for filing are the following: <div style="margin-left: 20px;"><input checked="" type="checkbox"/> A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED) <input checked="" type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date. <input type="checkbox"/> A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date. <input type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date. <input type="checkbox"/> A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office. <input checked="" type="checkbox"/> Other (list): <div style="border: 1px solid black; padding: 10px; margin-top: 10px;">Check - \$1,830.00 (Filing Fee, Surcharge and Excess Claims) Assignment and Recordation Form Cover Sheet Check - \$40.00 - Recordal Fee Information Disclosure Statement/PTO Form 1449 - 1 Reference</div></div>				

Docket No.
70551-55784

Serial No
09/824,554

Filing Date
April 2, 2001

Examiner
Not Yet Assigned

Group Art Unit
2651

Invention: OPTICAL RECORDING MEDIUM RECORDED WITH INFORMATION IN DEPTH DIRECTION, AND METHOD AND APPARATUS OF REPRODUCTION THEREFROM

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

- ☒ Completion of application fees as calculated below:

- | | |
|---|-----------------------------|
| <input checked="" type="checkbox"/> Utility application filing fee | <u>\$710.00</u> |
| <input type="checkbox"/> Design application filing fee | <u> </u> |
| <input checked="" type="checkbox"/> Total number of independent claims = <u>12</u> | <u>\$720.00</u> |
| <input checked="" type="checkbox"/> Total number of claims = <u>35</u> | <u>\$270.00</u> |
| <input type="checkbox"/> Multiple dependent claims | <u> </u> |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | <u>\$130.00</u> |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor | <u> </u> |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification | <u> </u> |
| <input type="checkbox"/> Fee for processing and retention of application | <u> </u> |

Total completion of application fees \$1,830.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

- ☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____
Date _____

until: _____
Date

Total time extension fees

Total fees due \$1,830.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
70551-55784

In Re Application Of: Junsaku Nakajima, et al.



Serial No.
09/824,554

Filing Date
April 2, 2001

Examiner
Not Yet Assigned

Group Art Unit
2651

Invention: **OPTICAL RECORDING MEDIUM RECORDED WITH INFORMATION IN DEPTH
DIRECTION, AND METHOD AND APPARATUS OF REPRODUCTION THEREFROM**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$1,830.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 04-1105
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 04-1105
A duplicate copy of this sheet is enclosed.

Signature

Dated: July 31, 2001

David G. Conlin
Registration No. 27026
Dike, Bronstein, Roberts & Cushman
Intellectual Property Practice Group
Edwards & Angell
P.O. Box 9169
Boston, MA 02209
Tel: (617) 439-4444

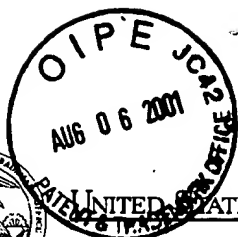
I certify that this document and fee is being deposited
on July 31, 2001 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Signature of Person Mailing Correspondence

Louise M. Rappaport

Typed or Printed Name of Person Mailing Correspondence

CC:

Seifer
\$#3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/824,554	04/02/2001	Junsaku Nakajima	55,784 (70551)

CONFIRMATION NO. 9695

FORMALITIES LETTER



OC000000006136192

EDWARDS & ANGELL, LLP
130 Water Street
Boston, MA 02109

Date Mailed: 06/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$990.
 - \$270 for 15 total claims over 20.
 - \$720 for 9 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1830.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/08/2001 BNGUYEN1 00000067 09824554
 01 FC:101 710.00 00
 02 FC:103 270.00 00
 03 FC:102 720.00 00
 04 FC:105 130.00 00

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